



Substantive Form PTO-1449 (Mandatory) U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 17105-018005 (25886-0055E)	Application No. 10/802,284
List of Patents and Publications for Applicant's Information Disclosure Statement (37 CFR §1.98(b))		
Applicant Chen, James		
Filing Date March 16, 2004		Group Art Unit 3762

U.S. Patent Documents

Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
* <i>RDY</i>	A	2001/0022970	09/20/01	Dees et al.	424	178.1	03/06/01
	B	2001/0044623	11/22/01	Chen	606	2	07/20/01
	C	2001/0046983	11/29/01	Pandey et al.	514	185	12/18/00
	D	2001/0049502	12/06/01	Chen	604	167.06	11/25/98
	E	2002/0010500	01/24/02	Chen	607	89	06/24/98
*	F	2002/0033192	03/21/02	Lindseu et al.	136	263	05/10/01
	G	2002/0049247	04/25/02	Chen	514	410	01/12/01
	H	2002/0087205	07/04/02	Chen	607	88	07/13/01
	I	2002/0127224	09/12/02	Chen	424	130.1	03/04/02
	J	2002/0127230	09/12/02	Chen	424	178.1	07/13/01
*	K	2002/0128303	09/12/02	Bellnier et al.	514	410	03/07/01
	L	2002/0198576	12/26/02	Chen	607	88	12/26/02
	M	2003/0018371	01/23/03	Chen	607	88	07/13/01
*	N	2003/0030342	02/13/03	Chen et al.	310	102	12/13/01
	O	2003/0109813	06/12/03	Chen	60	2	12/10/02
	P	2003/0114434	06/19/03	Chen et al.	514	185	08/31/99
	Q	2003/0167033	09/04/03	Chen et al.	604	20	01/23/03
	R	2003/0208249	11/06/03	Chen	607	88	04/08/03
	S	2004/0044197	03/4/04	Pandey et al.	540	140	06/27/03
	T	2004/0044198	03/04/04	Pandey et al.	540	145	06/02/03
*	U	RE 37,180	05/15/01	Mori et al.	514	410	04/29/99
	V	2,797,213	06/25/57	Moore	260	141	08/20/54
	W	3,046,118	07/24/62	Schmidt	96	33	02/14/58
	X	3,046,120	07/24/62	Schmidt	96	33	11/30/54
	Y	4,337,759	07/06/82	Popovich et al.	126	438	02/27/81
*	Z	4,521,762	06/04/85	Kapral	340	347	12/20/82
*	AA	4,577,636	03/25/86	Spears	128	654	01/27/84
<i>✓</i>	AB	4,675,338	06/23/87	Bommer et al.	514	410	07/18/84

Examiner Signature <i>Roy D. Gibson</i>	Date Considered <i>10/12/05</i>
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

Substitute Form PTO-1449 (Modified)		U.S. Department of Commerce Patent and Trademark Office		Attorney's Docket No. 17105-018005 (25886-0055E)		Application No. 10/802,284	
List of Patents and Publications for Applicant's Information Disclosure Statement (37 CFR §1.98(b))				Applicant Chen, James			
				Filing Date March 16, 2004		Group Art Unit 3762	
U.S. Patent Documents							
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
RWJ	AC	4,693,556	09/15/87	McCaughan, Jr.	350	320	06/04/85
	AD	4,693,885	09/15/87	Bommer <i>et al.</i>	424	2	04/30/85
	AE	4,753,958	06/28/88	Weinstein <i>et al.</i>	514	410	06/25/86
	AF	4,823,244	04/18/89	Alaybayoglu <i>et al.</i>	362	194	01/29/88
	AG	4,849,207	07/18/89	Sakata <i>et al.</i>	424	1.1	10/23/86
*	AH	4,861,876	08/29/89	Kessel	540	145	11/26/86
*	AI	4,866,168	09/12/89	Dougherty <i>et al.</i>	540	145	07/24/86
*	AJ	4,878,891	11/07/89	Judy <i>et al.</i>	604	5	06/25/87
	AK	4,932,934	06/12/90	Dougherty <i>et al.</i>	604	21	08/24/88
*	AL	4,957,481	09/18/90	Gatenby	604	20	07/03/89
*	AM	4,997,639	03/05/91	Aizawa <i>et al.</i>	424	9	11/27/89
	AN	4,998,930	03/12/91	Lundahl	606	15	08/04/89
	AO	5,002,962	03/26/91	Pandey <i>et al.</i>	514	410	07/20/88
	AP	5,026,367	06/25/91	Leckrone <i>et al.</i>	606	7	03/18/88
*	AQ	5,028,594	07/02/91	Carson	514	23	12/27/88
*	AR	5,041,078	08/20/91	Matthes <i>et al.</i>	604	4	12/21/89
*	AS	5,053,006	10/01/91	Watson	604	52	04/02/90
	AT	5,055,446	10/08/91	Alexander <i>et al.</i>	514	2	10/21/88
	AU	5,171,749	12/15/92	Levy <i>et al.</i>	514	410	06/20/91
	AV	5,190,536	03/02/93	Wood <i>et al.</i>	606	16	02/19/92
	AW	5,263,925	11/23/93	Gilmore <i>et al.</i>	604	4	07/22/91
	AX	5,283,255	02/01/94	Levy <i>et al.</i>	514	410	09/11/92
	AY	5,314,905	05/24/94	Pandey <i>et al.</i>	514	410	11/09/92
*	AZ	5,330,741	07/19/94	Smith <i>et al.</i>	424	9	02/24/92
	BA	5,344,434	09/06/94	Talmore	607	88	12/21/92
*	BB	5,368,841	11/29/94	Trauner <i>et al.</i>	424	9	02/11/93
	BC	5,399,583	03/21/95	Levy <i>et al.</i>	514	410	01/25/94
	BD	5,404,869	04/11/95	Parkyn, Jr. <i>et al.</i>	126	699	04/16/92
* ✓	BE	5,430,051	07/04/95	Aizawa <i>et al.</i>	514	410	04/19/94
Examiner Signature <i>Roy D. Gibson</i>				Date Considered <i>10/12/05</i>			
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

Substitute Form PTO-1449 (Modified)		U.S. Department of Commerce Patent and Trademark Office		Attorney's Docket No. 17105-018005 (25886-0055E)		Application No. 10/802,284	
List of Patents and Publications for Applicant's Information Disclosure Statement (37 CFR §1.98(b))				Applicant Chen, James			
				Filing Date March 16, 2004		Group Art Unit 3762	
U.S. Patent Documents							
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
* RD	BF	5,441,531	08/15/95	Zarate et al.	607	90	10/18/93
	BG	5,445,608	08/29/95	Chen et al.	604	20	08/16/93
	BH	5,456,661	10/10/95	Narciso, Jr.	604	20	03/31/94
	BI	5,474,528	12/12/95	Meserol	604	20	03/21/94
	BJ	5,474,765	12/12/95	Thorpe	424	178.17	03/23/92
	BK	5,482,698	01/09/96	Griffiths	424	1.41	04/22/93
	BL	5,484,778	01/16/96	Kenney et al.	514	63	09/02/93
	BM	5,484,803	01/16/96	Richter	514	410	02/02/95
	BN	5,494,793	02/27/96	Schindele et al.	435	6	06/14/89
*	BO	5,498,710	03/12/96	Pandey et al.	540	145	04/22/94
*	BP	5,500,009	03/19/96	Mendes et al.	607	88	09/27/93
	BQ	5,514,669	05/07/96	Selman	514	63	09/29/93
	BR	5,519,534	05/21/96	Smith et al.	359	599	05/25/94
*	BS	5,534,506	07/09/96	Morgan et al.	514	185	06/20/94
	BT	5,543,514	08/06/96	Sessler et al.	540	472	04/06/95
*	BU	5,549,660	08/27/96	Mendes et al.	607	088	11/12/92
*	BV	5,556,612	09/17/96	Anderson et al.	424	59	03/15/94
	BW	5,565,552	10/15/96	Magda et al.	534	11	06/07/95
*	BX	5,567,409	10/22/96	Aizawa et al.	424	9.363	12/12/94
	BY	5,571,152	11/05/96	Chen et al.	607	92	05/26/95
	BZ	5,576,013	11/19/96	Williams et al.	424	423	03/21/95
	CA	5,577,492	11/26/96	Parkyn, Jr. et al.	126	698	04/07/95
	CB	5,577,493	11/26/96	Parkyn, Jr. et al.	126	699	08/22/94
*	CC	5,591,847	01/07/97	Pandey et al.	540	472	05/23/94
	CD	5,591,855	01/07/97	Hudkins et al.	546	256	04/24/95
*	CE	5,594,136	01/14/97	Sessler et al.	540	472	05/03/95
	CF	5,613,769	03/25/97	Parkyn, Jr. et al.	362	338	06/05/95
✓	CG	5,616,140	04/01/97	Prescott	606	10	03/21/94

Examiner Signature <i>Roy D. Gibson</i>	Date Considered <i>10/12/05</i>
EXAMINER: Initial if citation/considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 17105-018005 (25886-0055E)	Application No. 10/802,284
List of Patents and Publications for Applicant's Information Disclosure Statement (37 CFR §1.98(b))		Applicant Chen, James	
		Filing Date March 16, 2004	Group Art Unit 3762

U.S. Patent Documents

Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
Rdy	CH	5,630,996	05/20/97	Reno <i>et al.</i>	424	1.49	09/16/93
	CI	5,634,711	06/03/97	Kennedy <i>et al.</i>	362	119	09/13/94
	CJ	5,643,334	07/01/97	Eckhouse <i>et al.</i>	607	88	02/07/95
	CK	5,645,562	07/08/97	Hann <i>et al.</i>	606	194	03/11/96
	CL	5,655,832	08/12/97	Pelka <i>et al.</i>	362	296	05/22/95
	CM	5,676,453	10/14/97	Parkyn, Jr. <i>et al.</i>	362	260	05/22/95
	CN	5,686,113	11/11/97	Speaker <i>et al.</i>	424	490	03/21/95
	CO	5,698,866	12/16/97	Doiron <i>et al.</i>	257	99	05/28/96
	CP	5,700,243	12/23/97	Nariso, Jr.	604	102	05/24/96
	CQ	5,702,432	12/30/97	Chen <i>et al.</i>	607	88	10/03/96
	CR	5,703,896	12/30/97	Pankove <i>et al.</i>	372	50	03/05/96
	CS	5,705,518	01/06/98	Richter <i>et al.</i>	514	410	02/16/95
	CT	5,707,401	01/13/98	Talmore	607	88	02/24/95
	CU	5,709,653	01/20/98	Leone	604	20	07/25/96
	CV	5,715,837	02/10/98	Chen	128	899	08/29/96
	CW	5,735,817	04/07/98	Shantha	604	100	05/19/95
	CX	5,736,563	04/07/98	Richter	514	410	11/08/95
	CY	5,741,316	04/21/98	Chen <i>et al.</i>	607	61	12/02/96
	CZ	5,746,494	05/05/98	Koeda <i>et al.</i>	362	32	11/14/95
	DA	5,746,495	05/05/98	Klamm	362	32	02/05/97
	DB	5,757,557	05/26/98	Medvedev <i>et al.</i>	359	708	06/09/97
	DC	5,766,222	06/16/98	Petit	606	234	07/07/97
	DD	5,766,234	06/16/98	Chen <i>et al.</i>	607	92	04/16/96
	DE	5,769,844	06/23/98	Ghaffari	606	16	06/07/95
*	DF	5,770,619	07/23/98	Richter <i>et al.</i>	514	410	11/01/95
	DG	5,770,730	06/23/98	Pandey <i>et al.</i>	540	472	03/08/96
	DH	5,775,339	07/07/98	Woodburn <i>et al.</i>	128	898	08/19/97
	DI	5,776,175	07/07/98	Eckhouse <i>et al.</i>	607	100	09/29/95

Examiner Signature <i>Roy D. Gibson</i>	Date Considered <i>10/12/05</i>
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

Substitute Form PTO-1449 (Modified)		U.S. Department of Commerce Patent and Trademark Office		Attorney's Docket No. 17105-018005 (25886-0055E)		Application No. 10/802,284	
List of Patents and Publications for Applicant's Information Disclosure Statement (37 CFR §1.98(b))				Applicant Chen, James			
				Filing Date March 16, 2004		Group Art Unit 3762	
U.S. Patent Documents							
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
RDY	DJ	5,776,427	07/07/98	Thorpe <i>et al.</i>	424	1.49	06/01/95
	DK	5,782,896	07/21/98	Chen <i>et al.</i>	607	88	01/29/97
	DL	5,797,868	08/25/98	Leone	604	21	07/25/96
	DM	5,798,349	08/25/98	Levy <i>et al.</i>	514	185	02/17/95
	DN	5,800,478	09/01/98	Chen <i>et al.</i>	607	88	03/07/96
	DO	5,803,575	09/08/98	Ansems <i>et al.</i>	362	32	03/15/96
	DP	5,806,955	09/15/98	Parkyn, Jr. <i>et al.</i>	362	31	06/07/95
	DQ	5,807,881	09/15/98	Leong <i>et al.</i>	514	410	09/22/94
	DR	5,811,248	09/22/98	Ditlow <i>et al.</i>	435	7.9	06/07/95
	DS	5,814,008	09/29/98	Chen <i>et al.</i>	604	21	07/29/96
	DT	5,817,048	10/06/98	Lawandy	604	20	03/20/97
*	DU	5,824,080	10/20/98	Lamuraglia	623	11	09/04/96
	DV	5,824,657	10/20/98	Hill <i>et al.</i>	514	46	03/18/97
	DW	5,827,186	10/27/98	Chen <i>et al.</i>	600	407	04/11/97
	DX	5,829,448	11/03/98	Fisher <i>et al.</i>	128	898	10/30/96
*	DY	5,832,931	11/10/98	Wachter <i>et al.</i>	128	898	10/30/96
	DZ	5,835,648	11/10/98	Narciso, Jr. <i>et al.</i>	385	31	03/07/97
	EA	5,843,143	12/01/98	Whitehurst	607	88	08/24/94
	EB	5,849,027	12/15/98	Gart <i>et al.</i>	607	93	09/04/96
	EC	5,851,225	12/22/98	Lawandy	607	88	11/13/96
	ED	5,855,866	01/05/99	Thorpe <i>et al.</i>	424	1.49	03/02/94
	EE	5,863,538	01/26/99	Thorpe <i>et al.</i>	424	136.1	06/01/95
	EF	5,864,035	01/26/99	Pandey <i>et al.</i>	540	472	03/06/97
	EG	5,865,840	02/02/99	Chen	607	92	10/22/97
	EH	5,876,427	03/02/99	Chen <i>et al.</i>	607	88	01/29/97
	EI	5,881,200	03/09/99	Burt	385	142	03/20/97
	EJ	5,882,328	03/16/99	Levy <i>et al.</i>	604	20	12/02/96
✓	EK	5,882,779	03/16/99	Lawandy	428	323	02/06/97

Examiner Signature

Roy D. Gibson

Date Considered

10/12/05

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 17105-018005 (25886-0055E)	Application No. 10/802,284
List of Patents and Publications for Applicant's Information Disclosure Statement (37 CFR §1.98(b))		Applicant Chen, James	
		Filing Date March 16, 2004	Group Art Unit 3762

U.S. Patent Documents

Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
RDZ	EL	5,906,579	05/25/99	Vander Salm <i>et al.</i>	600	424	08/14/97
	EM	5,909,670	06/01/99	Trader <i>et al.</i>	705	14	01/09/96
	EN	5,912,257	06/15/99	Prasad <i>et al.</i>	514	356	09/05/96
	EO	5,913,834	06/22/99	Francais	600	591	06/09/98
	EP	5,913,884	06/22/99	Trauner <i>et al.</i>	607	88	10/31/96
	EQ	5,919,217	07/06/99	Hughes	607	90	04/15/93
	ER	5,921,244	07/13/99	Chen <i>et al.</i>	128	897	06/11/97
	ES	5,924,788	07/20/99	Parkyn, Jr.	362	329	09/23/97
	ET	5,926,320	07/20/99	Parkyn, Jr. <i>et al.</i>	359	641	05/29/97
	EU	5,929,105	07/27/99	Sternberg <i>et al.</i>	514	410	06/01/98
	EV	5,942,534	08/24/99	Trauner <i>et al.</i>	514	410	10/10/97
	EW	5,943,354	08/24/99	Lawandy	372	39	09/16/97
	EX	5,945,762	08/31/99	Chen <i>et al.</i>	310	171	02/10/98
	EY	5,952,329	09/14/99	Cincotta <i>et al.</i>	514	224.5	01/23/97
	EZ	5,957,960	09/28/99	Chen <i>et al.</i>	607	92	05/05/97
	FA	5,961,543	10/05/99	Waldmann	607	88	11/01/96
	FB	5,976,175	11/02/99	Hirano <i>et al.</i>	607	89	06/18/96
*	FC	5,976,535	11/02/99	Fritzberg <i>et al.</i>	424	182.1	06/06/95
	FD	5,985,353	11/16/99	Lawton <i>et al.</i>	427	2.13	07/30/97
	FE	5,989,245	11/23/99	Prescott	606	14	03/31/97
	FF	5,990,479	11/23/99	Weiss <i>et al.</i>	250	307	11/25/97
	FG	5,997,569	12/07/99	Chen <i>et al.</i>	607	88	01/29/97
	FH	5,997,842	12/07/99	Chen	424	1.29	04/13/98
*	FI	5,998,597	12/07/99	Fisher <i>et al.</i>	536	23.1	12/11/97
	FJ	6,013,053	01/11/00	Bower <i>et al.</i>	604	96	05/17/96
	FK	6,015,897	01/18/00	Theodore <i>et al.</i>	540	474	05/13/96
	FL	6,021,347	02/01/00	Herbst <i>et al.</i>	607	2	12/04/97
* ✓	FM	6,032,070	02/29/00	Flock <i>et al.</i>	600	473	06/07/95

Examiner Signature <i>Roy D. Gibson</i>	Date Considered 10/12/05
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

Substitute Form PTO-1449 (Modified)		U.S. Department of Commerce Patent and Trademark Office		Attorney's Docket No. 17105-018005 (25886-0055E)		Application No. 10/802,284		
List of Patents and Publications for Applicant's Information Disclosure Statement (37 CFR §1.98(b))				Applicant Chen, James				
				Filing Date March 16, 2004		Group Art Unit 3762		
U.S. Patent Documents								
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate	
*	RDY	FN	6,036,941	03/14/00	Bottiroli et al.	424	9.6	05/11/98
*		FO	6,048,359	04/11/00	Biel	607	92	08/25/98
		FP	6,051,230	04/18/00	Thorpe et al.	424	178.1	06/01/95
		FQ	6,058,937	05/09/00	Doiron et al.	128	898	07/18/97
		FR	6,071,944	06/06/00	Rodgers et al.	514	408	11/12/97
		FS	6,080,160	06/27/00	Chen et al.	606	72	12/04/96
		FT	6,083,485	07/04/00	Licha et al.	424	9.6	11/07/97
*		FU	6,090,788	07/18/00	Lurie	514	23	06/30/99
		FV	6,092,531	07/25/00	Chen et al.	128	899	06/03/99
		FW	6,096,066	08/01/00	Chen et al.	607	88	09/11/98
		FX	6,100,290	08/08/00	Levy et al.	514	410	09/14/98
		FY	6,107,325	08/22/00	Chan et al.	514	410	05/18/98
*		FZ	6,107,466	08/22/00	Hasan et al.	530	351	09/24/98
*		GA	6,117,862	09/12/00	Margaron et al.	514	185	11/09/98
*		GB	6,123,923	09/26/00	Unger et al.	424	9.52	12/18/97
		GC	6,135,620	10/24/00	Marsh	362	377	04/10/96
		GD	6,138,681	10/13/97	Chen et al.	128	899	10/31/00
*		GE	6,152,951	11/28/00	Hashimoto et al.	607	92	02/17/98
*		GF	6,162,242	12/19/00	Peyman	607	88	01/21/99
		GG	6,165,440	12/22/00	Esenaliev	424	1.11	07/09/98
		GH	6,183,444	02/06/01	Glines et al.	604	187	05/16/98
*		GI	6,187,030	02/13/01	Gart et al.	607	93	11/05/98
		GJ	6,210,425	04/03/01	Chen	607	88	07/08/99
		GK	6,217,869	04/17/01	Meyer et al.	424	178.1	09/05/97
		GL	6,238,426	05/29/01	Chen	607	88	07/19/99
		GM	6,273,904	08/14/01	Chen et al.	607	88	03/02/99
		GN	6,281,611	08/28/01	Chen et al.	310	171	04/11/00
✓		GO	6,297,228	10/02/01	Clark et al.	514	177	06/18/98

Examiner Signature <i>Roy D. Gibson</i>	Date Considered <i>10/12/05</i>
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 17105-018005 (25886-0055E)	Application No. 10/802,284
List of Patents and Publications for Applicant's Information Disclosure Statement (37 CFR §1.98(b))		Applicant Chen, James	
		Filing Date March 16, 2004	Group Art Unit 3762

U.S. Patent Documents

Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
RCS	GP	6,319,273	11/20/01	Chen <i>et al.</i>	607	88	12/16/99
	GQ	6,331,744	12/18/01	Chen <i>et al.</i>	310	171	04/11/00
	GR	6,344,050	02/05/02	Chen	607	88	12/21/98
	GS	6,416,531	07/09/02	Chen	607	89	06/24/98
	GT	6,454,789	09/24/02	Chen	607	88	01/15/99
	GU	6,520,669	02/18/03	Chen <i>et al.</i>	362	545	06/19/00
	GV	6,534,040	03/18/03	Pandey <i>et al.</i>	424	9.362	12/18/00
	GW	6,554,853	04/29/03	Chen	607	88	07/20/01
	GX	6,580,228	06/17/03	Chen <i>et al.</i>	315	185R	08/22/00
	GY	6,602,274	08/05/03	Chen	607	88	03/18/99
*	GZ	6,624,187	09/23/03	Pandey <i>et al.</i>	514	410	06/12/00
* ✓	HA	6,657,351	12/02/03	Chen <i>et al.</i>	310	171	07/20/01

Foreign Patent Documents or Published Foreign Patent Applications

Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
RCS	HB	00/15296	03/23/00	PCT				
	HC	00/27365	05/18/00	PCT				
	HD	00/29617	05/25/00	PCT				
	HE	00/36983	06/29/00	PCT				
	HF	00/41725	07/20/00	PCT				
	HG	00/41726	07/20/00	PCT				
	HH	00/41727	07/20/00	PCT				
	HI	00/41768	07/20/00	PCT				
*	HJ	00/61584	10/19/00	PCT A1				
	HK	01/03770	01/18/01	PCT				
	HL	01/05316	01/25/01	PCT				
	HM	01/15694	03/08/01	PCT				
✓	HN	01/43825	06/21/01	PCT				

Examiner Signature <i>Roy D. Gibson</i>	Date Considered <i>10/12/2005</i>
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP §609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

Substitute Form PTO-1449 (Modified)		U.S. Department of Commerce Patent and Trademark Office		Attorney's Docket No. 17105-018005 (25886-0055E)		Application No. 10/802,284		
List of Patents and Publications for Applicant's Information Disclosure Statement (37 CFR §1.98(b))				Applicant Chen, James				
				Filing Date March 16, 2004		Group Art Unit 3762		
Foreign Patent Documents or Published Foreign Patent Applications								
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
RLG	HO	01/51087	07/19/01	PCT				
*	HP	01/74398	10/11/01	PCT A1				
	HQ	01/75617	10/30/91	EP				
	HR	01/78216	10/18/01	PCT				
	HS	01/78458	10/18/01	PCT				
	HT	01/98708	12/27/01	PCT				
*	HU	02/098882	12/12/02	PCT A1				
	HV	02/17690	02/28/02	PCT				
*	HW	03/050082	06/19/03	PCT A2				
	HX	03/052793	06/26/03	PCT				
	HY	03/061696	07/31/03	PCT				
	HZ	0 407 122	01/09/91	EP				
	IA	0674251	06/29/94	AU				
	IB	0694868	03/18/96	AU				
	IC	0708410	02/14/97	AU				
	ID	0713227	09/26/97	AU				
	IE	0720815	06/26/87	AU				
	IF	0721857	04/28/99	AU				
*	IG	1 146 046 A2	10/17/01	EP				
*	IH	2004/002476 A2	01/08/04	PCT				
*	II	2004/005289 A2	01/15/04	PCT				
	IJ	2323284	09/23/98	UK				
	IK	53084998	07/26/78	JP			Yes	
	IL	57185220	11/15/92	JP			Yes	
	IM	92/00106	01/09/92	PCT				
	IN	93/00005	01/07/93	PCT				
	IO	93/11657	06/24/93	PCT				
↓	IP	93/13769	07/22/93	PCT				

Examiner Signature

Roy D. Gibson

Date Considered

10/12/05

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 17105-018005 (25886-0055E)	Application No. 10/802,284
List of Patents and Publications for Applicant's Information Disclosure Statement (37 CFR §1.98(b))		Applicant Chen, James	
		Filing Date March 16, 2004	Group Art Unit 3762

Foreign Patent Documents or Published Foreign Patent Applications

Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
<i>R.D.</i>	IQ	93/24127	12/09/93	PCT				
	IR	94/06424	03/18/99	PCT				
	IS	95/32001	11/30/95	PCT				
	IT	96/06641	03/07/96	PCT				
	IU	97/31582	09/04/97	PCT				
	IV	97/32520	09/12/97	PCT				
	IW	97/32885	09/12/97	PCT				
	IX	97/40679	11/06/97	PCT				
	IY	97/46262	12/11/97	PCT				
	IZ	98/06456	02/19/98	PCT				
	JA	98/08565	03/05/98	PCT				
	JB	98/14243	04/09/98	PCT				
	JC	98/20936	05/22/98	PCT				
	JD	98/24371	06/11/98	PCT				
	JE	98/24510	06/11/98	PCT				
	JF	98/32491	07/30/98	PCT				
	JG	98/32492	07/30/98	PCT				
	JH	98/32493	07/30/98	PCT				
	JI	98/33251	07/30/98	PCT				
	JJ	98/46130	10/22/98	PCT				
	JK	98/47541	10/29/98	PCT				
	JL	98/50034	11/12/98	PCT				
	JM	98/50387	11/12/98	PCT				
	JN	98/52610	11/26/98	PCT				
	JO	98/56302	12/17/98	PCT				
	JP	99/03503	01/28/99	PCT				
	JQ	99/18879	04/22/99	PCT				
<i>4</i>	JR	99/20346	04/29/99	PCT				

Examiner Signature <i>Roy D. Gibson</i>	Date Considered <i>10/12/05</i>
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 17105-018005 (25886-0055E)	Application No. 10/802,284
List of Patents and Publications for Applicant's Information Disclosure Statement (37 CFR §1.98(b))		Applicant Chen, James	
		Filing Date March 16, 2004	Group Art Unit 3762

Foreign Patent Documents or Published Foreign Patent Applications

Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
RWA	JS	99/39769	08/12/99	PCT				
	JT	99/52565	12/21/99	PCT				
	JU	99/58149	11/18/99	PCT				
	JV	99/66988	12/29/99	PCT				
	JW	99/67248	12/29/99	PCT				
	JX	99/67249	12/29/99	PCT				

Other Documents (include Author, Title, Date, and Place of Publication)

Examiner Initial	Desig. ID	Document
RWA	JY	Adilia <i>et al.</i> , "Local delivery of photosensitizing drugs in arteries: a novel approach to photodynamic therapy for the prevention of intimal hyperplasia", <i>Proc. SPIE-INT. Soc. Opt. Eng.</i> , 2395:402-8 (1995) (Ger. Symp. Laser Angioplasty, 2nd, 1980)
	JZ	Anderson <i>et al.</i> "Photodynamic therapy for sarcoma pulmonary metastases: a preclinical toxicity study," <i>Anticancer Res.</i> 23:3713-3718 (2003).
	KA	Anonymous (1997) http://www.lumacare.com/ , 2 pages
	KB	Anonymous (May 1998) "The 1998 Photonics Circle of Excellence Award Winners", <i>Photonics Spectra</i> , pp. 95-96
	KC	Barr <i>et al.</i> , "Normal tissue Damage Following Photodynamic Therapy: Are There Biological Advantages?", Book: Photodynamic Therapy, Basic Principles and Clinical Applications, Barbara W. Henderson and Thomas J. Dougherty, (Eds.); Marcel Dekker, Inc. New York, pp. 201-216
	KD	Bayer <i>et al.</i> , "Raw eggs and cancer therapy", <i>Science Spectra</i> , 12:34-41 (1998)
	KE	Beems <i>et al.</i> , Photosensitizing properties of bacteriochlorophyllin <i>a</i> and bacteriochlorin <i>a</i> , two derivatives of bacteriochlorophyll <i>a</i> , <i>Photochem. Photobiol.</i> 46(5): 639-643 (1987).
	KF	Bellnier <i>et al.</i> , Murine pharmacokinetics and antitumor efficacy of the photodynamic sensitizer 2-[1-hexyloxyethyl]-2-devinyl pyropheophorbide- <i>a</i> , <i>J. Photochem. Photobiol. B: Biol.</i> 20: 55-61 (1993).
	KG	Birchler <i>et al.</i> , "Selective Targeting and Photocoagulation of Ocular Angiogenesis Mediated by a Phage-Derived Human Antibody Fragment", <i>Nature Biotechnol.</i> , 17:984-988 (1999)
	KH	Blaauwgeers <i>et al.</i> , "Polarized Vascular Endothelial Growth Factor Secretion by Human Retinal Pigment Epithelium and Localization of Vascular Endothelial Growth Factor Receptors on the Inner Choriocapillaris", <i>American Journal of Pathology</i> , 155(2): 421-428 (1999)
Y	KI	Boulton <i>et al.</i> , "VEGF localization in diabetic retinopathy", <i>Br J Ophthalmol.</i> , 82:561-568 (1998)
	KJ	Brower, V., "Tumor Angiogenesis--New Drugs on the Block", <i>Nature Biotechnol.</i> , 17:963-968 (1999)

Examiner Signature <i>Roy D. Gibson</i>	Date Considered 10/12/05
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

Substitute Form PTO-1449 (Modified)		U.S. Department of Commerce Patent and Trademark Office		Attorney's Docket No. 17105-018005 (25886-0055E)	Application No. 10/802,284
List of Patents and Publications for Applicant's Information Disclosure Statement (37 CFR §1.98(b))				Applicant Chen, James	
				Filing Date March 16, 2004	Group Art Unit 3762
Other Documents (include Author, Title, Date, and Place of Publication)					
Examiner Initial	Desig. ID	Document			
RJG	KK	Casalini <i>et al.</i> , "Tumor Pretargeting: Role Of Avidin/Streptavidin On Monoclonal Antibody Internalization", <i>J.Nuclear Med.</i> , <u>38(9)</u> :1378-1381 (1997)			
	KL	Cattel <i>et al.</i> , "The Role of Conjugation Processes and Linking Agents in the Preparation of Molecular/Particulate Conjugates - a Review", <i>S.T.P. Pharma. Sci.</i> , <u>9(4)</u> :307-319 (1999)			
	KM	Certified English Translation of Japanese Kokai [Unexamined Patent] No. 57-185220, "Anti-Cancer Drug Having Chlorophyll Derivative Effective Component,"			
	KN	Certified English Translation of Japanese Patent Application No. Sho51-159879 (Japanese Kokai [Unexamined Patent] No. 53-84998), "Carcinostatic Method,"			
*	KO	Certified English Translation of: Fischer, H. et al., "[On the Bromination of the Esters of Mesoisochlorin e4 and Mesochlorin e6]," <i>Berichte der Deutschen Chemischen</i> 75:1778-1795 (1942)			
	KP	Chen <i>et al.</i> , "New Technology for Deep Light distribution in Tissue for Phototherapy", <i>The Cancer J.</i> , <u>8(2)</u> :154-163 (2002)			
*	KQ	Chen et al., "New directions in photodynamic therapy," <i>ICCP-2. 2nd International Conference on Porphyrins and Phthalocyanines</i> , 2002 30 June - 5 July; Kyoto, Japan: 78 [abstract S-26]			
	KR	Chen, J., "Next Generation Light Delivery System for Multi-Treatment Extended Duration Photodynamic Therapy (MED-PDT)", <i>SPIE-Proceedings Series</i> , <u>2972</u> :161-167 (1997)			
	KS	Ciulla <i>et al.</i> , "Changing therapeutic paradigms for exudative age-related macular degeneration: antiangiogenic agents and photodynamic therapy", <i>Exp. Opin. Invest. Drugs</i> , <u>8(12)</u> :2173-2182 (1999)			
	KT	Dartsch, <i>et al.</i> , "Photodynamic therapy of vascular stenoses? Response of cultured human smooth muscle cells from non-atherosclerotic arteries and atheromatous plaques following treatment with photosensitizing porphyrins", <i>Proc. SPIE-INT. Soc. Opt. Eng.</i> , <u>1462</u> :77-80 (1990)			
*	KU	Database Crossfire Beilstein, Database Accession No. 4286587 (Reaction ID), for Levinson, E.G. et al., <i>Russ. J. Bioorg. Chem (Engl. Transl.)</i> 21(3):199-203 (1995) in Russian in the <i>Bioorg. Khim.</i> 21(3):230-234 (1995)			
*	KV	Derwent English Abstract, Accession No. 1996-475153, citing Russian Patent RU 2054944 C, published February 27, 1996, "Production of purpurin-18 for treatment of tumours - comprises extracting vegetable waste with ethanol, oxidative splitting, degreasing and purifying".			
	KW	Dictionary of Cell Biology, Second Edition (Lackie & Dow, eds., 1989), page 17.			
	KX	Dillon <i>et al.</i> , "In Vitro and In Vivo Protection Against Phototoxic Side Effects of Photodynamic Therapy by Radioprotective Agents WR-2721 and WR-77913", <i>Photochemistry and Photobiology</i> , <u>48(2)</u> :235-238 (1988)			
	KY	Dimitroff <i>et al.</i> , "Anti-angiogenic activity of selected receptor tyrosine kinase inhibitors, PD166285 and PD173074: Implications for combination treatment with photodynamic therapy", <i>Investigational New Drugs</i> , <u>17</u> :121-135 (1999)			
✓	KZ	Dougherty <i>et al.</i> , <u>Review</u> "Photodynamic Therapy", <i>J. Natl. Cancer Inst.</i> , <u>90(12)</u> :889-905 (1998)			

Examiner Signature <i>Roy D. Gibson</i>	Date Considered <i>10/12/05</i>
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

Substitute Form PTO-1449 (Modified)		U.S. Department of Commerce Patent and Trademark Office		Attorney's Docket No. 17105-018005 (25886-0055E)	Application No. 10/802,284
List of Patents and Publications for Applicant's Information Disclosure Statement (37 CFR §1.98(b))				Applicant Chen, James	
				Filing Date March 16, 2004	Group Art Unit 3762
Other Documents (include Author, Title, Date, and Place of Publication)					
Examiner Initial	Desig. ID	Document			
RDG	LA	Dougherty <i>et al.</i> , <u>Yearly Review "Photodynamic Therapy"</u> , <i>Photochem. Photobiol.</i> , <u>58(6)</u> :895-900 (1993)			
	LB	Dougherty, T.J., "A Brief History of Clinical Photodynamic Therapy Development at Roswell Park Cancer Institute", <i>J. Clin. Laser Med. & Surg.</i> , <u>14(5)</u> :219-221 (1996)			
	LC	Dougherty, T.J., Photosensitization of malignant tumors, <i>Seminars in Surgical Oncology</i> 2:24-37 (1986).			
	LD	Edamatsu <i>et al.</i> , "One and Two-Photon Selective Excitation Spectroscopy of CuCl Quantum Dots", <i>Review</i> , <u>17</u> :15-16 (1998)			
	LE	Fact Sheet: Laser Medical Pac, pp. 1-2 (1998)			
	LF	Ferrario <i>et al.</i> , "Antiangiogenic Treatment Enhances Photodynamic Therapy Responsiveness in a Mouse Mammary Carcinoma", <i>Cancer Research</i> , <u>60</u> :4066-4069 (2000)			
*	LG	Fischer, H. <i>et al.</i> , "[On the Bromination of the Esters of Mesoisochlorin e ₄ and Mesochlorin e ₆]," <i>Berichte der Deutschen Chemischen</i> 75:1778-1795 (1942)			
	LH	Fisher, W.G. <i>et al.</i> "Simultaneous Two-Photon Activation of Type-1 Photodynamic Therapy Agents," <i>Photochem. Photobiol.</i> , <u>66(2)</u> :141-155 (1997)			
	LI	Freiherr, G., "Advances in Photodynamic Therapy Lure Device Innovators", <i>Medical Device & Diagnostic Industry</i> , http://feedback@devicelink.com , 4 pages			
	LJ	Gagel, M. P., Photodynamic therapy with porphyrins (1997), available at http://www.dermatology.org/laser/pdt.html .			
	LK	Gilson <i>et al.</i> , "Therapeutic ratio of photodynamic therapy in the treatment of superficial tumours of skin and subcutaneous tissues in man", <i>J. Cancer</i> , <u>58</u> :665-667 (1988)			
	LL	Granville <i>et al.</i> , "Photodynamic Treatment with Benzoporphyrin Derivative Monoacid Ring A Produces Protein Tyrosine Phosphorylation Events and DNA Fragmentation in Murine P815 Cells", <i>Photochem. Photobiol.</i> , <u>67(3)</u> :358-362 (1998)			
*	LM	Gryshuk <i>et al.</i> , "A First Comparative Study of Purpurinimide-based Fluorinated vs. Nonfluorinated Photosensitizers for Photodynamic Therapy", <i>Photochem. Photo biol.</i> , <u>76(5)</u> :555-559 (2002)			
	LN	Haas <i>et al.</i> , "Phototherapy of Bladder Cancer: Dose/Effect Relationships," <i>Journal of Urology</i> , <u>136</u> :525-528 (1986)			
*	LO	Haslam <i>et al.</i> , "Recent Developments in Methods for the Esterification and Protection of the Carboxyl Group," <i>Tetrahedron</i> 36: 2409-2433 (1980)			
	LP	Henderson <i>et al.</i> , An <i>in vivo</i> quantitative structure-activity relationship for a congeneric series of pyropheophorbide derivatives as photosensitizers for photodynamic therapy, <i>Cancer Research</i> 57: 4000-4007 (1997).			
	LQ	Jacka <i>et al.</i> , "A Lamp for Cancer Phototherapy", <i>Aust. J. Phys.</i> , <u>36</u> :221-226 (1983)			
✓	LR	Jiang <i>et al.</i> , "Enhanced photodynamic killing of target cells by either monoclonal antibody or low density lipoprotein mediated delivery systems", <i>J. Controlled Release</i> , <u>19</u> :41-58 (1992)			

Examiner Signature <i>Roy D. Gibson</i>	Date Considered <i>10/12/05</i>
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

Substitute Form PTO-1449 (Modified)		U.S. Department of Commerce Patent and Trademark Office		Attorney's Docket No. 17105-018005 (25886-0055E)	Application No. 10/802,284
List of Patents and Publications for Applicant's Information Disclosure Statement (37 CFR §1.98(b))				Applicant Chen, James	
				Filing Date March 16, 2004	Group Art Unit 3762
Other Documents (include Author, Title, Date, and Place of Publication)					
Examiner Initial	Desig. ID	Document			
RDg	LS	Jiang <i>et al.</i> , "Selective Depletion of a Thymocyte Subset <i>in Vitro</i> with an Immunomodulatory Photosensitizer", <i>Clin. Immunol.</i> , <u>91</u> (2):178-87 (1999)			
*	LT	Jones <i>et al.</i> "Photodynamic therapy for patients with advanced non-small-cell carcinoma of the lung," <i>Clin Lung Cancer</i> . 3(1):37-41 (2001)			
	LU	Kashtan <i>et al.</i> , "Photodynamic Therapy of Colorectal Cancer Using a New Light Source from In Vitro Studies to a Patient Treatment", <i>Dis. Colon. Rectum.</i> , <u>39</u> (4):379-383 (1996)			
	LV	Kessel <i>et al.</i> , Photosensitization with bacteriochlorins, <i>Photochem. Photobiol.</i> 58(2): 200-203 (1993).			
	LW	Kozyrev <i>et al.</i> , Effect of substituents in OsO ₄ reactions of metallochlorins regioselective synthesis of isobacteriochlorins and bacteriochlorins, <i>Tetrahedron Letters</i> 37(22): 3781-3784 (1996).			
	LX	Kreimer-Birnbaum, M., "Modified Porphyrins, Chlorins, Phthalocyanines, and Purpurins: Second-Generation Photosensitizers for Photodynamic Therapy", <i>Seminars in Hematology</i> <u>26</u> (2):157-173 (1989)			
	LY	Latham <i>et al.</i> , "Biophotonics Applications of High-Power Semiconductor Diode Laser technology", available at: http://www.arflhorizons.com/Briefs/0001/DE9903.html pp.1-3 (1998) accessed on (02/06/03).			
*	LZ	Li <i>et al.</i> , "Application of Ruppert's reagent in preparing novel perfluorinated porphyrins, chlorins and bacteriochlorins", <i>J. Chem. Soc. Perkin Trans 1</i> , 1785-1787 (1999)			
*	MA	Li <i>et al.</i> , "Synthesis, comparative photosensitizing efficacy, human serum albumin (site II) binding ability, and intracellular localization characteristics of novel benzobacteriochlorins derived from vic-dihydroxybacteriochlorins,". <i>J Med Chem.</i> 46(25):5349-5359 (2003)			
	MB	Lin <i>et al.</i> , "Skin Necrosis due to Photodynamic Action of Benzoporphyrin Depends on Circulating Rather than Tissue Drug Levels: Implications for Control of Photodynamic Therapy", <i>Photochem. Photobiol.</i> , <u>68</u> (4):575-583 (1998)			
*	MC	Lustig <i>et al.</i> , "A multicenter Phase I safety study of intratumoral photoactivation of talaporfin sodium in patients with refractory solid tumors," <i>Cancer</i> 98(8):1767-71 (2003)			
	MD	Marcus, S.L., "Photodynamic Therapy of Human Cancer", <i>Proceedings of the IEEE</i> , <u>80</u> (6):869-889 (1992)			
	ME	Margaron <i>et al.</i> , "Photodynamic therapy inhibits cell adhesion without altering integrin expression", <i>Biochimica et Biophysica Acta</i> , <u>1359</u> :200-210 (1997)			
	MF	McMillan <i>et al.</i> , "Tumor growth inhibition and regression induced by photothermal vascular targeting and angiogenesis inhibitor retinoic acid", <i>Cancer Lett.</i> , <u>137</u> :35-44 (1999)			
	MG	Meerovich <i>et al.</i> , "Photosensitizer for PDT based on phosphonate phthalocyanine derivative", <i>Proc. SPIE-INT. Sec. Opt. Eng.</i> , <u>2924</u> :86-90 (1996)			
7	MH	Merck Manual of Diagnosis and Therapy, 17th edition (Beers & Berkow, eds., 1999), pages 816-817 and 1654-1657.			

Examiner Signature <i>Roy D. Gibson</i>	Date Considered <i>10/12/05</i>
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609/Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

Substitute Form PTO-1449 (Modified)		U.S. Department of Commerce Patent and Trademark Office		Attorney's Docket No. 17105-018005 (25886-0055E)		Application No. 10/802,284	
List of Patents and Publications for Applicant's Information Disclosure Statement (37 CFR §1.98(b))				Applicant Chen, James			
				Filing Date March 16, 2004		Group Art Unit 3762	
Other Documents (include Author, Title, Date, and Place of Publication)							
Examiner Initial	Desig. ID	Document					
ROg	MI	Mew <i>et al.</i> , "Ability of Specific Monoclonal Antibodies and Conventional Antisera Conjugated to Hematoporphyrin to Label and Kill Selected Cell Lines Subsequent to Light Activation", <i>Cancer Res.</i> , <u>45</u> :4380-4386 (1985)					
	MJ	Mew <i>et al.</i> , "Photoimmunotherapy: Treatment of Animal Tumors with Tumor-Specific Monoclonal Antibody-Hematoporphyrin Conjugates", <i>Journal of Immunology</i> , <u>130</u> (3):1473-1477 (1983)					
	MK	Middleton <i>et al.</i> , "Synthetic Biodegradable Polymers as Medical Devices", <i>Medical Plastics & Biomaterials</i> , pp. 30-39 (1998)					
	ML	Millson <i>et al.</i> , "Ex-Vivo Treatment of Gastric Helicobacter Infection by Photodynamic Therapy", <i>J. of Photochemistry and Photobiology B: Biology</i> , <u>32</u> :59-65 (1996)					
	MM	Nakatani <i>et al.</i> , "Chemistry and biochemistry of Chinese drugs. VII. Cytostatic pheophytins from silkworm excreta, and derived photocytotoxic pheophorbides", <i>Chem. Pharm. Bull.</i> , <u>29</u> (8):2261-2269 (1981)					
	MN	Nemoto <i>et al.</i> , "Inhibition by a new bisphosphonate (YM175) of bone resorption induced by the MBT-2 tumour of mice", <i>Brit. J. Cancer</i> , <u>67</u> (5):893-897 (1993)					
	MO	North <i>et al.</i> , "Viral Inactivation in Blood and Red Cell Concentrates with Benzoporphyrin Derivative", <i>Blood Cells</i> <u>18</u> :129-140 (1992)					
	MP	Pandey <i>et al.</i> , "Shedding some light on tumours", <i>Chem. Indust.</i> , <u>1998</u> :739-743 (1998)					
	MQ	Pandey <i>et al.</i> , Comparative in vivo sensitizing efficacy of porphyrin and chlorin dimers joined with ester, ether, carbon-carbon or amide bonds, <i>J. Molecular Recognition</i> <u>9</u> : 118-122 (1996).					
	MR	Parrish, J.A., "Photobiologic Consideration Photoradiation Therapy", <i>Porphyrin Photosensitization</i> , pp. 91-108 (1983)					
*	MS	Patent Abstract of Japan citing Japanese Patent Application JP 09124652, published May 13, 1997, "Porphyrin Derivative and Use Thereof".					
	MT	Pittau <i>et al.</i> , "An Inexpensive Light Source for Oncologic Photodynamic Therapy", <i>IEEE Eng. Med. Biol.</i> , pp. 105-106 (1998)					
	MU	Prewett <i>et al.</i> , "Antivascular Endothelial Growth Factor Receptor (Fetal Liver Kinase 1) Monoclonal Antibody Inhibits Tumor Angiogenesis and Growth of Several Mouse and Human Tumors", <i>Cancer Research</i> , <u>59</u> :5209-5218 (1999)					
	MV	Renno <i>et al.</i> , "Photodynamic Therapy Using Lu-Tex Induces Apoptosis In Vitro, and Its Effect is Potentiated by Angiostatin in Retinal Capillary Endothelial Cells", <i>Investigative Ophthalmol. & Visual Sci.</i> , <u>41</u> (12):3963-3971 (2000)					
	MW	Rimington <i>et al.</i> , Preparation and photosensitizing properties of hematoporphyrin ethers, <i>Free Rad. Res. Comms.</i> <u>7</u> (3-6): 139-142 (1989).					
*	MX	Roy <i>et al.</i> , "Ceramic-based nanoparticles entrapping water-insoluble photosensitizing anticancer drugs: a novel drug-carrier system for photodynamic therapy," <i>J Am Chem Soc.</i> <u>125</u> (26):7860-5 (2003)					

Examiner Signature <i>Roy O. Gibson</i>	Date Considered <i>10/12/05</i>
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

Substitute Form PTO-1449 (Modified)		U.S. Department of Commerce Patent and Trademark Office		Attorney's Docket No. 17105-018005 (25886-0055E)	Application No. 10/802,284
List of Patents and Publications for Applicant's Information Disclosure Statement (37 CFR §1.98(b))				Applicant Chen, James	
				Filing Date March 16, 2004	Group Art Unit 3762
Other Documents (include Author, Title, Date, and Place of Publication)					
Examiner Initial	Desig. ID	Document			
RDg	MY	Ruebner, A. <i>et al.</i> "Carrier Systems in PDT II: Accumulation Strategies of Biotin-Avidin Coupled Photosensitizers Developed On Cultured Tumor Cells," <i>SPIE</i> , 2625:328-32 (1996)			
	MZ	Rungta <i>et al.</i> , Purpurinimides as photosensitizers: effect of the presence and position of the substituents in the <i>in vivo</i> photodynamic efficacy, <i>Bioorg. Medicinal Chem. Letters</i> 10: 1463-1466 (2000).			
	NA	Savellano <i>et al.</i> , "Pegylated BPD Verteporfin C225 Anti-EGF Receptor Direct Covalent Linkage Photosensitizer Immunoconjugates", <i>Photochem. Photobiol.</i> , 69:38S (1999)			
	NB	Savitsky, A.P. <i>et al.</i> "Avidin-Biotin System for Targeting Delivery of Photosensitizers and Other Cytotoxic Agents Into Malignant Tissues," <i>SPIE</i> , 3191: 243-53 (1997)			
	NC	Schmidt <i>et al.</i> , "Size-dependent Two-Photon Excitation spectroscopy of CdSe Nanocrystals", <i>Physical Review B</i> , 53(19):12629-12632 (1996)			
	ND	Schmidt-Erfurth <i>et al.</i> , "In Vivo Uptake of Liposomal Benzoporphyrin Derivative and Photothrombosis in Experimental Corneal Neovascularization", <i>Lasers in Surgery and Medicine</i> , 17:178-188 (1995)			
	NE	Schmidt-Erfurth <i>et al.</i> , "Photodynamic Therapy of Experimental Choroidal Melanoma Using Lipoprotein-delivered Benzoporphyrin", <i>Ophthalmol.</i> , 101:89-99 (1994)			
	NF	Schmidt-Erfurth <i>et al.</i> , "Photodynamic therapy of subfoveal choroidal neovascularization: clinical and angiographic examples", <i>Graefe's Arch. Clin. Exp. Ophthalmol.</i> , 236:365-74 (1998)			
	NG	Schmidt-Erfurth <i>et al.</i> , "Vascular targeting in photodynamic occlusion of subretinal vessels", <i>Ophthalmol.</i> , 101(12):1953-1961 (1994)			
	NH	Sharman <i>et al.</i> , "Novel water-soluble phthalocyanines substituted with phosphonate moieties on the benzo rings", <i>Tetrahedron Lett.</i> , 37(33):5831-5834 (1996)			
	NI	Sigsestad, C.P. <i>et al.</i> "Chemical Modification of Normal Tissue Damage Induced by Photodynamic Therapy," <i>Brit. J. Cancer</i> 74(Suppl.37):S89-92 (1996)			
*	NJ	Smith <i>et al.</i> , "Meso substitution of chlorophyll derivatives: direct route for transformation of bacteriopheophorbides d into bacteriopheophorbides c," <i>J Am Chem Soc</i> 107:4946-54 (1985)			
*	NK	Smith <i>et al.</i> , "Bacteriochlorophylls c from <i>Chloropseudomonas ethylicum</i> . Composition and NMR Studies of the Pheophorbides and Derivatives", <i>Am. Chem. Soc.</i> , 102(7):2437-2448 (1980)			
	NL	Spikes, J.D., Porphyrins and related compounds as photodynamic sensitizers, <i>Annals of the New York Academy of Sciences</i> 244: 496-508 (1975).			
	NM	Stedman's Medical Dictionary, 26th Edition, (Williams & Wilkens, 1995), pages 268, 276-280, 726-727, 1165, 1182, and 1571-72.			
	NN	Sternberg <i>et al.</i> , "Porphyrin-based Photosensitizers for Use in Photodynamic Therapy", <i>Tetrahedron</i> , 54:4151-4202 (1998)			
✓	NO	Su, F., Photodynamic Therapy; A Maturing Medical Technology, OE-Reports, SPIE, February 2000, available at http://www.spie.org/web/oer/february/feb00/phototherapy.html .			

Examiner Signature <i>Rory D. Gibson</i>	Date Considered <i>10/12/05</i>
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

Substitute Form PTO-1449 (Modified)		U.S. Department of Commerce Patent and Trademark Office		Attorney's Docket No. 17105-018005 (25886-0055E)	Application No. 10/802,284
List of Patents and Publications for Applicant's Information Disclosure Statement (37 CFR §1.98(b))				Applicant Chen, James	
				Filing Date March 16, 2004	Group Art Unit 3762
Other Documents (include Author, Title, Date, and Place of Publication)					
Examiner Initial	Desig. ID	Document			
RJG	NP	Szeimies <i>et al.</i> , "A New Light Source for PDT of Skin Lesions", http://www.lumacare.com/paper3.htm , 2 pages (after 1993)			
	NQ	Szeimies <i>et al.</i> , "A Possible New Incoherent Lamp for Photodynamic Treatment of Superficial Skin Lesions", <i>Acta Derm Venereol</i> , 74:117-119 (1994)			
	NR	Taber's Cyclopedic Medical Dictionary, 14th edition (C. L. Thomas, ed., 1983), page 63.			
	NS	Tomio <i>et al.</i> , "Effect of Hematoporphyrin and Red Light on AH-130 Solid Tumors in Rats", <i>ACTA Radiologica Oncol.</i> , 22:49-53 (1983)			
	NT	Umemura <i>et al.</i> , "Recent Advances in Sonodynamic Approach to Cancer Therapy," <i>Ultrasonics Sonochemistry</i> , 3:S187-S191 (1996)			
	NU	Warwick, R.I., "Infinite Machines' Subsidiary Receives Positive Results in Photodynamic Therapy Tests", <i>BW HealthWire</i> , http://biz.yahoo.com/bw/97/06/18/imci_v000_1.html , 2 pages (1997)			
	NV	Whitehurst <i>et al.</i> , "Development of an Alternative Light Source to Lasers for Photodynamic Therapy: 1. Comparative In Vitro dose Response Characteristics", <i>Lasers in Med. Sci.</i> , 8:259-267 (1993)			
	NW	Wieman <i>et al.</i> , "(418) Photodynamic Therapy (PDT) of Locally Recurrent Breast Cancer (LRBC) with Lutetium Texaphyrin (Lutrin)", <i>American Society of Clinical Oncology</i> , 18:111A (1999)			
	NX	Wilder-Smith <i>et al.</i> , "(G1546) Photoeradication of Helicobacter Pylori in Humans: Phase 1 Study", <i>AGA Abstracts Gastroenterology</i> , 116(4):A354 (1999)			
*	NY	Wood <i>et al.</i> , "A beam-splitting device for use with fiber-coupled laser light sources for photodynamic therapy," <i>Photochem Photobiol.</i> 76(6):683-5 (2002)			
	NZ	Woodburn <i>et al.</i> , Evaluation of porphyrin characteristics required for photodynamic therapy, <i>Photochem. Photobiol.</i> 55(5): 697-704 (1992).			
	OA	Yamamoto <i>et al.</i> , "Photodynamic effects on the nucleic acids of cancer cells sensitized by sodium phytychlorin", <i>Medicine and Biology</i> , 90(6):397-400, English translation and certificate of translation included, 4 pages (June 10, 1975)			
	OB	Yamamoto <i>et al.</i> , "Photoradiation therapy, phytychlorin and visible light", <i>Prevention and Detection of Cancer, Part 1, Prevention. Volume 2, Etiology-Prevention Methods</i> , Proceedings of the Third International Symposium on Detection and Prevention of Cancer held April 26, 1976 in New York, N.Y., 1(2):1789-1802 (1978)			
	OC	Yamamoto, T., "Effect of phytychlorin on transplantable cancer cells", <i>Medicine and Biology</i> , 89(6):433-438, English translation and certificate of translation included, 7 pages (December 10, 1974)			
	OD	Yamamoto, T., "Suppression of tumors by the photodynamic action of phytychlorin sodium", <i>Medicine and Biology</i> , 90(4):161-164, English translation and certificate of translation included, 4 pages (April 10, 1975)			
✓	OE	Yumita <i>et al.</i> , "Sonodynamically Induced Antitumor Effect of Gallium-Porphyrin Complex by focused Ultrasound on Experimental Kidney Tumor", <i>Cancer Letters</i> , 112:79-86 (1997)			
Examiner Signature Roy D. Gibson			Date Considered 10/12/05		
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.					

Substitute Form PTO-1449 (Modified)		U.S. Department of Commerce Patent and Trademark Office		Attorney's Docket No. 17105-018005 (25886-0055E)		Application No. 10/802,284	
List of Patents and Publications for Applicant's Information Disclosure Statement (37 CFR §1.98(b))				Applicant Chen, James			
				Filing Date March 16, 2004		Group Art Unit 3762	
Other Documents (include Author, Title, Date, and Place of Publication)							
Examiner Initial	Desig. ID	Document					
RD	OF	Yumita <i>et al.</i> , "The Combination Treatment of Ultrasound and Antitumor Drugs on Yoshida Sarcoma", <i>Japan J. Hyperthermic Oncol.</i> , 3(2):175-182 (1987)					
*	OG	Zheng <i>et al.</i> , "Photosensitizers Related to Purpurin-18-N-alkylimides: A Comparative in vivo Tumoricidal Ability of Ester versus Amide Functionalities", <i>Bioorganic & Med. Chem. Letts.</i> , 10:123-127 (2000)					
*	OH	Zheng <i>et al.</i> , "Chlorin-based symmetrical and unsymmetrical dimers with amide linkages: effect of the substituents on photodynamic and photophysical properties," <i>J. Chem. Soc. Perkins I</i> , pp.3113-3121 (2000)					
*	OI	Zheng <i>et al.</i> , "PDT using a novel LED light source and LS11 in a rat liver model," <i>30th Annual Meeting of the American Society for Photobiology</i> ; 2002 13-17 July; Quebec City, Canada. American Society for Photobiology: 33 [abstract 95]					

Examiner Signature <i>Roy D. Gibson</i>	Date Considered <i>10/12/05</i>
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP §909; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

Sheet 1 of 1

Substitute Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 17105-018005/0055E	Application No. 10/802,284
List of Patents and Publications for Applicant's Information Disclosure Statement (37 CFR §1.98(b))		Applicant Chen, James	
		Filing Date March 16, 2004	Group Art Unit 3762

U.S. Patent Documents

Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
RGS	AA	2004/0215292	10/28/04	Chen	607	88	03/16/04
	AB	2004/0229830	11/18/04	Tachibana et al.	514	44	02/19/04
	AC	6,495,585	12/17/02	Bellnier, et al.	514	410	03/07/01
✓	AD	6,899,723	05/31/05	Chen	607	88	07/13/01

Foreign Patent Documents or Published Foreign Patent Applications

Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No

Other Documents (include Author, Title, Date, and Place of Publication)

Examiner Initial	Desig. ID	Document

Examiner Signature <i>Roy D. Gibson</i>	Date Considered 10/12/05
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	